

A characterization of a class of dimensional dual hyperovals with doubly transitive automorphism groups and its applications

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Abstract

A characterization theorem is given for d -dual hyperovals over $GF(2)$ with doubly transitive automorphism group, if it has the ambient space of dimension $2(d + 1)$. Based on this theorem, some classification of those dual hyperovals are obtained.

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1. Introduction

Let U be a vector space over a finite field $GF(q)$ with q elements. A family \mathcal{A} of $(d + 1)$ -dimensional subspaces of U is called a **d -dimensional dual arc** (abbreviated to d -dual arc) over $GF(q)$ if it satisfies the following conditions.

- (1) $\dim(X \cap Y) = 1$ for every distinct members X and Y of \mathcal{A} .
- (2) $X \cap Y \cap Z = \{0\}$ for mutually distinct members X, Y, Z of \mathcal{A} .

The subspace of U spanned by the members of \mathcal{A} is called the **ambient space** of \mathcal{A} . It is easy to see that a d -dual arc has at most $((q^{d+1} - 1)/(q - 1)) + 1$ members. If the upper bound is attained, \mathcal{A} is called a **d -dimensional dual hyperoval** (abbreviated to d -dual hyperoval).

Recall that the automorphism group $\text{Aut}(\mathcal{S})$ of a d -dual hyperoval \mathcal{S} with ambient space V is defined to be the subgroup of automorphisms of the projective space $PG(V)$ associated with V which preserve \mathcal{S} .

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This paper is a continuation of [4], where the structure of the automorphism group $\text{Aut}(\mathcal{S})$ is restricted if it acts doubly transitively on \mathcal{S} and $d \geq 2$. In particular, $q = 2$ or 4 and \mathcal{S} is explicitly determined if $q = 4$. If $q = 2$, any subgroup G of $\text{Aut}(\mathcal{S})$ acting doubly transitively on \mathcal{S} is of affine type, namely, it has a normal subgroup N acting regularly on \mathcal{S} . Then $G = N : G_X$, a semidirect product of N with the stabilizer G_X of a member X of \mathcal{S} in G . In the rest of this paper, N always denotes the regular normal subgroup of G on \mathcal{S} .

In this paper, we investigate such \mathcal{S} with ambient space of small dimension. In Section 3, we establish the following characterization theorem of a family of d -dual hyperovals $\mathcal{S}_{\sigma,\tau}^{d+1}$ with $\sigma, \tau \in \text{Gal}(GF(2^{d+1})/GF(2))$ [3]. We use the symbol Z_m to denote a cyclic group of order m . Recall that a group acting on a set is called half-transitive if its orbits all have equal length greater than 1.

Theorem 1. *Let d be a positive integer with $d \geq 2$. Let \mathcal{S} be a d -dual hyperoval over $GF(2)$ with ambient space V of dimension $2d + 2$ on which a subgroup G of $\text{Aut}(\mathcal{S})$ acts doubly transitively. Assume that the stabilizer in G of a member $X \in \mathcal{S}$ contains a cyclic subgroup S which is regular on the set $X^\#$ of nonzero vectors of X . If $d = 5$, assume further that S is half-transitive on the nonzero vectors of $[V, N]$, where N is the normal subgroup of G which is regular on \mathcal{S} .*

Then \mathcal{S} is isomorphic to the dual hyperoval $\mathcal{S}_{\sigma,\tau}^{d+1}$ for some field automorphisms σ and τ in $\text{Gal}(GF(2^{d+1})/GF(2))$ with $\sigma\tau \neq \text{id}_{GF(2^{d+1})}$. In particular, $\text{Aut}(\mathcal{S})$ is the semidirect product of N with the stabilizer of a member X of \mathcal{S} , which is isomorphic to $\Gamma L_1(2^{d+1}) \cong Z_{2^{d+1}-1} : Z_{d+1}$ or $SL_3(2)$ according as $d \geq 3$ or $d = 2$.

In Section 4, some classifications are obtained using Theorem 1. In these theorems, d is a positive integer with $d \geq 2$.

Theorem 2. *Let \mathcal{S} be a d -dual hyperoval over $GF(2)$ with ambient space V of dimension $2d + 1$. Assume that G is a subgroup of $\text{Aut}(\mathcal{S})$ which is doubly transitive on \mathcal{S} . Then $G = N : G_X$ is a semidirect product of the regular normal subgroup N on \mathcal{S} with the stabilizer G_X of a member X of \mathcal{S} , which is isomorphic to a subgroup of $Z_{2^{d+1}-1} : Z_{d+1}$, acting transitively on $X^\#$.*

Theorem 3. *Let \mathcal{S} be a d -dual hyperoval over $GF(2)$ with ambient space V of dimension $2d + 2$. Assume that G is a subgroup of $\text{Aut}(\mathcal{S})$ which is doubly transitive on \mathcal{S} . Then $G = N : G_X$ is a semidirect product of a regular normal subgroup N on \mathcal{S} with the stabilizer G_X of a member X of \mathcal{S} , which is isomorphic to one of the following groups:*

- (1) *a subgroup of $\Gamma L_1(2^{d+1}) \cong Z_{2^{d+1}-1} : Z_{d+1}$, acting transitively on $X^\#$.*
- (2) *a subgroup of $GL_2(r) : Z_{(d+1)/2}$ containing a normal subgroup $SL_2(r)$, where $r = 2^{(d+1)/2}$. This occurs only when d is odd.*
- (3) *$SL_3(2)$ with $d = 2$; A_6 or S_6 with $d = 3$; and $G_2(2)'$, $G_2(2)$ or $Sp_6(2)$ with $d = 5$.*

If d is even and $2^{d+1} - 1$ is coprime with $d + 1$, then cases (2), (3) above do not occur, except $d = 2$ in case (3). In case (1), the normal subgroup $Z_{2^{d+1}-1}$ is the unique subgroup acting regularly on $X^\#$. Thus from Theorems 1 and 3 we obtain:

Corollary 4. *Assume that d is even and $2^{d+1} - 1$ is coprime with $d + 1$. Then a d -dual hyperoval \mathcal{S} over $GF(2)$ with ambient space of dimension $2d + 2$ admits an automorphism group acting doubly transitively on \mathcal{S} if and only if \mathcal{S} is isomorphic to $\mathcal{S}_{\sigma,\tau}^{d+1}$ for some $\sigma, \tau \in \text{Gal}(GF(2^{d+1})/GF(2))$.*

The paper is organized as follows. In Section 2, some general lemma are derived on the commutator space $[V, N]$ of the action of N on the ambient space V of \mathcal{S} . Section 3 is the main part of the paper, where Theorem 1 is proved: we first determine the actions on both X and $[V, N]$ of a certain cyclic subgroup S of G_X , and then specify \mathcal{S} by exploiting functional methods. In Section 4, we derive Theorems 2 and 3, using group theory, based on an observation that some substructures of \mathcal{S} inherit the property of \mathcal{S} (Lemma 15).

2. Some general results

In this section, we assume that \mathcal{S} is a d -dual hyperoval over $GF(2)$ with ambient space V admitting an automorphism group G ($\leq \text{Aut}(\mathcal{S})$) which acts doubly transitively on \mathcal{S} . Then G is of affine type by [4]. Let N be the normal subgroup of G acting regularly on \mathcal{S} , and let X be a given member of \mathcal{S} . Then $G = N : G_X$ is a semidirect product of N with G_X .

Notice that there is a bijection ν from the set $X^\#$ of nonzero vectors of X to the set $N^\# = N \setminus \{1\}$ of involutions of N :

$$X^\# \ni x \mapsto \nu(x) := \text{the unique involution of } N^\# \text{ such that } x \in X \cap X^{\nu(x)}.$$

There are three actions of G_X : the first one is on $\mathcal{S} \setminus \{X\}$, the second is on $X^\# = PG(X)$, and the third is on $N^\#$ by conjugation. Notice that they are equivalent to each other, via $Y \mapsto$ the unique nonzero vector x of $X \cap Y \mapsto \nu(x)$. In particular, $\nu(x)^g = \nu(x^g)$ for $x \in X^\#$ and $g \in G_X$. As G is doubly transitive on \mathcal{S} , G_X is transitive on $X^\#$.

Let $[V, N]$ be the smallest subspace W of V such that N acts trivially on V/W . It is spanned by all commutators $[v, n] = -v + v^n = v + v^n$ for $v \in V$ and $n \in N$. As V is spanned by all X^n ($n \in N$), $[V, N]$ is spanned by $x + x^n$ for $x \in X$ and $n \in N$.

Lemma 5. (1) We have $V = X \oplus [V, N]$.

(2) For each involution $n \in N$, we have $\{x + x^n \mid x \in X\} = [X, n] = \langle X, X^n \rangle \cap [V, N]$ and $\langle X, X^n \rangle = X \oplus [X, n]$.

Proof. (1) Since $[V, N]$ is G -invariant, $X \cap [V, N]$ is a G_X -invariant subspace of X . As G_X acts transitively on $X^\#$, we have either $X \subseteq [V, N]$ or $X \cap [V, N] = \{0\}$. In the former case, $V = \langle X^n \mid n \in N \rangle$ is contained in $[V, N]$. However, $[V, N] \neq V$, as V is a nontrivial 2-group on which a 2-group N acts. Thus we have $X \cap [V, N] = \{0\}$. As N acts trivially on $V/[V, N]$, N acts on $\langle X, [V, N] \rangle = X \oplus [V, N]$. Thus this subspace contains all members X^n ($n \in N$) of \mathcal{S} , and therefore $V = \langle X^n \mid n \in N \rangle = X \oplus [V, N]$.

(2) As the map $X \ni x \mapsto x + x^n \in [X, n]$ is a $GF(2)$ -linear surjection with kernel $C_X(n)$, we have $X/C_X(n) \cong [X, n]$. As $X \neq X^n$, $X \cap X^n = C_X(n)$ is a projective point on X , whence $\dim_{GF(2)}[X, n] = d$. From claim 1, $\langle X, X^n \rangle = X \oplus (\langle X, X^n \rangle \cap [V, N])$. Thus $\langle X, X^n \rangle \cap [V, N]$ is of dimension $\dim(\langle X, X^n \rangle) - (d + 1) = 2(d + 1) - 1 - (d + 1) = d$. As $\langle X, X^n \rangle \cap [V, N]$ contains $[X, n]$, we have $\langle X, X^n \rangle \cap [V, N] = [X, n]$ by comparing the dimensions. \square

Lemma 6. Let T be a subgroup of G_X which acts on N irreducibly, that is, N is the only nontrivial T -invariant subgroup of N . If $\dim(V) \geq 2(d + 1)$, then T does not centralize $[V, N]$.

Proof. Take an involution n of $N^\#$, and let M be the subgroup of N generated by $g^{-1}ng$ for all $g \in T$. Then M is a nontrivial T -invariant subgroup of N , whence $M = N$ by the assumption. Suppose that T centralizes $Y := [V, N]$. Then T acts on $H := \langle X, X^n \rangle = X \oplus [X, n]$, as $[X, n] = \langle X, X^n \rangle \cap Y$ (see Lemma 5(2)) is centralized by T and $T \leq G_X$. Since the involution

n normalizes H , then $g^{-1}ng$ normalizes H for all $g \in T$. Thus $N = M = \langle g^{-1}ng \mid g \in T \rangle$ normalizes H . However, as N acts transitively on \mathcal{S} , this implies that H contains all the members of \mathcal{S} , whence $H = V$, the ambient space. Then $\dim(V) = \dim(X \oplus [X, n]) = (d+1) + d = 2d+1$. \square

3. Proof of Theorem 1

Throughout this section, we assume the hypothesis in Section 2 and that $\dim_{GF(2)}(V) = 2(d+1)$. Since $\dim(V) = 2(d+1)$, we have $\dim([V, N]) = d+1$ by Lemma 5(1). The group G acts on $[V, N]$, whence N acts on $[V, N]$, and the stabilizer G_X acts both on X and $[V, N]$. We begin with examining the actions of G_X and N on $[V, N]$.

Lemma 7. *Assume that S is a cyclic subgroup of G_X acting regularly on the set $X^\#$ of nonzero vectors of X . If $\dim(V) = 2(d+1)$, then S acts irreducibly on $[V, N]$ unless $d = 5$. In the exceptional case, if S is half-transitive on $[V, N]^\#$, then the same conclusion holds.*

Proof. Suppose that $d \neq 5$. Then it follows from the Zsigmondy theorem (e.g. [1, VIII, 8.3 Theorem]) that there exists a 2-primitive prime divisor p of $2^{d+1} - 1$, that is, p is a prime dividing $2^{d+1} - 1$, but p is coprime with $2^i - 1$ for all $1 \leq i \leq d$. Let T be the unique subgroup of the cyclic group S of order p . Notice that T acts irreducibly on N , for otherwise there would be a subgroup of order 2^i ($1 \leq i \leq d$) of N on which T acts faithfully, then p would divide $2^i - 1$. Then it follows from Lemma 6 that T does not centralize $[V, N]$, as we have $\dim(V) = 2(d+1)$ by the assumption in this lemma.

Suppose that S acts on $[V, N]$ reducibly. As S is of odd order, its action on $[V, N]$ is semisimple. Thus $[V, N] = W_1 \oplus W_2$ for some nontrivial proper S -invariant subspaces W_1 and W_2 . Since p is a 2-primitive prime divisor of $2^{d+1} - 1$, the group T acts trivially on both W_1 and W_2 . Thus T centralizes $[V, N]$, which contradicts the conclusion above. Hence S acts irreducibly on $[V, N]$ if $d \neq 5$.

Consider the case $d = 5$. In this case there is no 2-primitive prime divisor. However, we can verify that a subgroup T of S acts irreducibly on N , if $|T| = 9$ or 21 . Then it follows from Lemma 6 that the action of such T on $[V, N]$ is nontrivial.

Now the half-transitivity of S on $[V, N]^\#$ implies that S has the same orbit length s on $[V, N]^\#$ for $s = 3, 7, 9, 21$ or 63 . As every nontrivial S -invariant subspace is a union of some S -orbits together with the zero vector, we have two possibilities if S acts reducibly on $[V, N]$. In the first possibility, $[V, N]$ is the sum of two 3-spaces W_i ($i = 1, 2$) such that S induces Z_7 on each $W_i^\#$. In the second possibility, $[V, N]$ is the sum of three 2-spaces W_i ($i = 1, 2, 3$) such that S induces Z_3 on each W_i . Accordingly, the kernel T of the action of S on $[V, N]$ is of order 9 or 21. However, this contradicts the conclusion in the above paragraph. \square

Lemma 8. *Under the assumption of Lemma 7, we have $C_V(N) = [V, N]$.*

Proof. As N is a 2-group acting on a nontrivial 2-group $Y := [V, N]$, we have $C_Y(N) \neq \{0\}$. As $C_Y(N)$ is G_X -invariant subspace of Y , we have $C_Y(N) = Y$ by Lemma 7. As $X \cap C_V(N) = \{0\}$, we have $C_V(N) = [V, N]$ from Lemma 5(1). \square

Now we assume the hypothesis of Theorem 1. Then it follows from Lemma 7 that the cyclic group S acting regularly on $X^\#$ acts irreducibly on the $(d+1)$ -space $[V, N]$ over $GF(2)$. Let g be a generator of S and let K be the kernel of the action of S on $[V, N]$. Notice that we may have $K \neq 1$. Then S/K is isomorphic to an irreducible cyclic subgroup of $GL([V, N]) \cong GL_{d+1}(2)$.

It is well known (e.g. [2, Proposition 19.8]) that then we can identify $[V, N]$ with the finite field $GF(2^{d+1})$ such that the action of S/K is given by the multiplication by elements of $GF(2^{d+1})^\times$. In particular, there is an element $\omega \in GF(2^{d+1})^\times$ such that $y^g = \omega y$ for all $y \in [V, N]$. Hence for every element $h = g^i$ of S , there exists an element $\omega_2(h) = \omega^i$ of $GF(2^{d+1})^\times$ such that $y^h = \omega_2(h)y$ for all $y \in [V, N]$. If g^i lies in K for some i , $0 \leq i \leq 2^{d+1} - 2$, then $\omega^i = 1$.

As S acts regularly on $X^\#$, S is also an irreducible cyclic subgroup of $GL(X) \cong GL_{d+1}(2)$. Thus we can identify X with $GF(2^{d+1})$ such that for each $h \in S$ there exists an element $\omega_1(h)$ satisfying $x^h = \omega_1(h)x$ ($x \in X$). Notice that ω_1 gives a bijection of S with $GF(2^{d+1})^\times$, as S acts regularly on $X^\#$. On the other hand, the image of ω_2 is a subgroup of $GF(2^{d+1})^\times$. Thus there exists an integer ε with $0 \leq \varepsilon \leq 2^{d+1} - 2$ such that $\omega_2(g) = \omega_1(g)^\varepsilon$. Then we have $\omega_2(h) = \omega_2(g)^i = \omega_1(g)^{i\varepsilon} = \omega_1(h)^\varepsilon$ for all $h = g^i \in S$.

For each $t \in GF(2^{d+1})^\times$, there is a unique element $h \in S$ with $\omega_1(h) = t$. We denote h by $g(t)$. Notice that $S = \{g(t) \mid t \in GF(2^{d+1})^\times\}$ and $g(t^{-1}) = g(t)^{-1}$. The conclusion in the above paragraph shows that under suitable identifications of X and $[V, N]$ with $GF(q)$, $q := 2^{d+1}$, we have $x^{g(t)} = tx$ and $y^{g(t)} = t^\varepsilon y$ for every $x \in X$ and $y \in [V, N]$. Now, we identify $V = X \oplus [V, N]$ with $GF(q) \oplus GF(q)$ by sending $v = x + y$ ($x \in X$, $y \in [V, N]$) to (x, y) , where x in the first entry (resp. y in the second entry) is the correspondent to x (resp. y) under the above identification of X (resp. $[V, N]$) with $GF(q)$. Summarizing, we have obtained the following lemma.

Lemma 9. Assume the hypothesis of Theorem 1. Then there exist an identification of V with $GF(q) \oplus GF(q)$, $q = 2^{d+1}$, and an integer ε with $0 \leq \varepsilon \leq 2^{d+1} - 2$ such that the following properties hold:

- (1) X and $[V, N]$ are identified with $\{(x, 0) \mid x \in GF(q)\}$ and $\{(0, y) \mid y \in GF(q)\}$ respectively.
- (2) For each $t \in GF(q)^\times$, there is a unique element $g(t)$ of the cyclic group S satisfying $(x, y)^{g(t)} = (tx, t^\varepsilon y)$ and $g(t)^{-1} = g(t^{-1})$ for every $x, y \in GF(q)$.

Take an involution n of $N^\#$. Then for every $x \in GF(q)$, the element $(x, 0) + (x, 0)^n$ lies in $[V, N] = \{(0, y) \mid y \in GF(q)\}$. Thus there exists a map f from $GF(q)$ to itself such that

$$(x, 0)^n = (x, 0) + (0, f(x)) = (x, f(x))$$

for all $x \in GF(q)$. As n is $GF(2)$ -linear on V , we see that the map f is $GF(2)$ -linear as well. Thus f is represented by a polynomial of the following shape for some a_i in $GF(q)$ ($0 \leq i \leq d$):

$$f(X) = a_0X + a_1X^2 + \cdots + a_iX^{2^i} + \cdots + a_dX^{2^d}. \quad (1)$$

Notice that f is not the zero map, for otherwise $n \in N^\#$ would fix all vectors of X and whence $X = X^n$, contradicting the regularity of N on \mathcal{S} . Thus there is at least one i with $0 \leq i \leq d$ such that $a_i \neq 0$. Notice also that there is $x_0 \in GF(q)^\times$ such that $f(x_0) = 0$, as $[X, n] = \{(0, f(x)) \mid x \in GF(q)\}$ is of dimension d .

Using the above index i and the above element x_0 of $GF(q)^\times$, we introduce a new identification of V with $GF(q) \oplus GF(q)$ by shifting the original identification. Tentatively we denote by $[x, y]$ the vector of $GF(q) \oplus GF(q)$ corresponding to $x + y \in V = X \oplus [V, N]$ via the new identification.

$$(x, y) = [x_0^{-1}x, ((a_ix_0^{2^i})^{-1}y)^{2^{d+1-i}}], \quad \text{or equivalently}$$

$$[x, y] = (x_0x, a_ix_0^{2^i}y^{2^i}).$$

Then the following hold for $x \in GF(q)$, $t \in GF(q)^\times$ and the involution $n \in N$.

$$\begin{aligned} [x, y]^{g(t)} &= (tx_0x, t^\varepsilon a_i x_0^{2^i} y^{2^i}) = [tx, t^{\varepsilon 2^{d+1-i}} y]. \\ [x, 0]^n &= (x_0x, f(x_0x)) = [x, ((a_i x_0^{2^i})^{-1} f(x_0x))^{2^{d+1-i}}]. \end{aligned}$$

With the new identification, the first equation above shows that the property2 in Lemma 9 holds, if we replace ε by $\varepsilon 2^{d+1-i}$ (modulo $2^{d+1} - 1$). Furthermore, the second equation above shows that, with the new identification, the linear map \tilde{f} on $GF(q)$ defined by $[x, 0]^n = [x, \tilde{f}(x)]$ is given by the polynomial $((a_i x_0^{2^i})^{-1} f(x_0x))^{2^{d+1-i}}$ (modulo $X^{2^{d+1}} - X$). In particular, if we denote $\tilde{f}(X) = \sum_{j=0}^d \tilde{a}_j X^{2^j}$, then we have $\tilde{a}_0 = 1$ and $\tilde{f}(1) = \sum_{j=0}^d \tilde{a}_j = 0$.

Hence, if we replace the original identification (resp. ε and $f(X)$) by the new one (resp. $\varepsilon 2^{d+1-i}$ and $\tilde{f}(X)$), then the following lemma holds.

Lemma 10. *In Eq. (1), we may assume that $a_0 = 1$ and $f(1) = 1 + a_1 + \cdots + a_d = 0$ by a suitable change of identification of V with $GF(q) \oplus GF(q)$. In particular, there is at least one index i_0 with $1 \leq i_0 \leq d$ such that $a_{i_0} \neq 0$.*

In this section, we use the symbols a_k ($0 \leq k \leq d$) to denote the coefficients of the polynomial $f(X)$ above satisfying the conditions in Lemma 10. Note that a_k ($0 \leq k \leq d$) are uniquely determined by $n \in N$.

As N acts trivially on $[V, N]$ by Lemma 8, for every $x, y \in GF(q)$ we have

$$(x, y)^n = (x, 0)^n + (0, y)^n = (x, f(x)) + (0, y) = (x, f(x) + y). \quad (2)$$

Now take any $t \in GF(q)^\times$ and consider the unique element $g(t)$ of S in Lemma 9. We calculate the action of an involution $g(t)^{-1}ng(t)$ of N . From property2 of Lemma 9 together with Eq. (2), for every $x, y \in GF(q)$ we have

$$\begin{aligned} (x, y)^{g(t)^{-1}ng(t)} &= (t^{-1}x, t^{-\varepsilon}y)^{ng(t)} \\ &= (t^{-1}x, f(t^{-1}x) + t^{-\varepsilon}y)^{g(t)} = (x, t^\varepsilon f(t^{-1}x) + y). \end{aligned} \quad (3)$$

In particular, $X^{g(t)^{-1}ng(t)} = \{(x, t^\varepsilon f(t^{-1}x)) \mid x \in GF(q)\}$.

Since S acts regularly on $X^\#$, it acts on $N^\#$ regularly as well by conjugation, via the equivalence remarked earlier. Since for every $s, t \in GF(q)^\times$ with $s \neq t$ the element $g(s)^{-1}ng(s) \cdot g(t)^{-1}ng(t)$ lies in $N^\#$, there is a unique element $u \in GF(q)^\times$ with $g(s)^{-1}ng(s) \cdot g(t)^{-1}ng(t) = g(u)^{-1}ng(u)$. Applying both sides of this equation to $(x, 0)$, the following formula is obtained from Eq. (3):

$$\begin{aligned} (x, 0)^{g(s)^{-1}ng(s) \cdot g(t)^{-1}ng(t)} &= (x, s^\varepsilon f(s^{-1}x))^{g(t)^{-1}ng(t)} \\ &= (x, s^\varepsilon f(s^{-1}x) + t^\varepsilon f(t^{-1}x)) = (x, u^\varepsilon f(u^{-1}x)). \end{aligned}$$

Hence we have

$$s^\varepsilon f(s^{-1}x) + t^\varepsilon f(t^{-1}x) = u^\varepsilon f(u^{-1}x)$$

for every $x \in GF(q)$. Now we rewrite both sides of this formula, using Eq. (1). As $t^\varepsilon f(t^{-1}x) = \sum_{i=0}^d t^{\varepsilon-2^i} a_i x^{2^i}$, we then obtain the following equation for all $x \in GF(q)$:

$$\sum_{i=0}^d (s^{\varepsilon-2^i} + t^{\varepsilon-2^i} - u^{\varepsilon-2^i}) a_i x^{2^i} = 0.$$

It can be verified that this happens only when $(s^{\varepsilon-2^i} + t^{\varepsilon-2^i} - u^{\varepsilon-2^i})a_i$ are all 0 ($i = 0, \dots, d$). Remark that u is uniquely determined by the distinct elements s and t of $GF(q)^*$, but is independent of i ($0 \leq i \leq d$). Hence we proved:

Lemma 11. *For every $s, t \in GF(q)^\times$ with $s \neq t$, there is a unique element u of $GF(q)^\times$ such that one of the following holds for each $i = 0, \dots, d$:*

- (1) $a_i = 0$.
- (2) $s^{\varepsilon-2^i} + t^{\varepsilon-2^i} = u^{\varepsilon-2^i}$.

Lemma 12. *Let i_0 be an integer such that $1 \leq i_0 \leq d$ and $a_{i_0} \neq 0$ as in Lemma 10, and let $\sigma = 2^{i_0}$. Then $\varepsilon - 1$ is invertible modulo $2^{d+1} - 1$ and $(\varepsilon - \sigma)(\varepsilon - 1)^{-1} \equiv 2^a$ for some $0 \leq a \leq d$ modulo $2^{d+1} - 1$.*

Proof. From Lemma 11 applied to $i = 0$ and to the index i_0 in the statement of the lemma, we conclude that for every distinct $s, t \in GF(q)^\times$ there exists $u \in GF(q)^\times$ such that

$$s^{\varepsilon-1} + t^{\varepsilon-1} = u^{\varepsilon-1} \quad \text{and} \quad s^{\varepsilon-\sigma} + t^{\varepsilon-\sigma} = u^{\varepsilon-\sigma}.$$

Suppose that $s^{\varepsilon-1} = t^{\varepsilon-1}$ for some distinct $s, t \in GF(q)^\times$. Then we have $u^{\varepsilon-1} = 0$ for an element $u \in GF(q)^\times$, which is a contradiction. Hence $GF(q)^\times \ni x \mapsto x^{\varepsilon-1} \in GF(q)$ is an injection and then a bijection. Therefore $\varepsilon - 1$ is an invertible element in the quotient ring $\mathbb{Z}/(2^{d+1} - 1)$.

From the above equations we have

$$(s^{\varepsilon-1} + t^{\varepsilon-1})^{\varepsilon-\sigma} = u^{(\varepsilon-1)(\varepsilon-\sigma)} = (s^{\varepsilon-\sigma} + t^{\varepsilon-\sigma})^{\varepsilon-1}.$$

Dividing both sides by $t^{(\varepsilon-\sigma)(\varepsilon-1)}$, we have $((s/t)^{\varepsilon-1} + 1)^{\varepsilon-\sigma} = ((s/t)^{\varepsilon-\sigma} + 1)^{\varepsilon-1}$ for every distinct $s, t \in GF(q)^\times$. Then, setting $\delta := (\varepsilon - \sigma)(\varepsilon - 1)^{-1}$ and $v = (s/t)^{\varepsilon-1}$, we have

$$(v + 1)^\delta = v^\delta + 1$$

for all $v \in GF(q)^\times$. As δ preserves the multiplication, it follows from this equation that δ preserves the addition as well. Hence, by defining $0^\delta = 0$, the map $GF(q) \ni x \mapsto x^\delta \in GF(q)$ is a Galois automorphism of $GF(q)$. Hence modulo $2^{d+1} - 1$, we have $\delta \equiv 2^a$ modulo $2^{d+1} - 1$ for some a with $0 \leq a \leq d$. \square

Lemma 13. *There is exactly one integer i with $1 \leq i \leq d$ such that $a_i \neq 0$.*

To prove Lemma 13, we prepare a result on the solutions of some congruence relations modulo $2^{d+1} - 1$.

Lemma 14. *Let i_k ($k = 1, \dots, 5$) be integers modulo $d + 1$ which satisfy*

$$1 + 2^{i_1} + 2^{i_2} \equiv 2^{i_3} + 2^{i_4} + 2^{i_5} \pmod{2^{d+1} - 1}.$$

Then one of the following holds modulo $d + 1$ after suitably permuting $\{i_1, i_2\}$ and $\{i_3, i_4, i_5\}$:

- (o) $(i_3, i_4, i_5) \equiv (0, i_1, i_2)$.
- (p) $i_1 \equiv i_2$ and $(i_3, i_4, i_5) \equiv (0, i_1, i_1)$.
- (p') $i_1 \equiv i_2$ and $(i_3, i_4, i_5) \equiv (-1, -1, i_1 + 1)$.
- (q) $i_1 \equiv 0 \neq i_2, i_3 \equiv i_4 \equiv 0$ and $i_5 \equiv i_2$.
- (q') $i_1 \equiv 0 \neq i_2, i_3 \equiv i_4 \equiv i_2 - 1$ and $i_5 \equiv 1$.

Proof. For integers i, j, k, i', j', k' , we use the symbol $(i, j, k) \equiv (i'j', k')$ to denote the following congruence relations, after suitably permuting entries i, j, k and i', j', k' : $i \equiv i', j \equiv j'$ and $k \equiv k'$ modulo $d + 1$.

For $k = 1, \dots, 5$, let j_k be the integer in $\{0, \dots, d\}$ such that $j_k \equiv i_k$ modulo $d + 1$. Then we have

$$1 + 2^{j_1} + 2^{j_2} - (2^{j_3} + 2^{j_4} + 2^{j_5}) = l(2^{d+1} - 1) \quad (4)$$

for some integer l . Suppose that j_1, \dots, j_5 are distinct integers in $\{0, \dots, d\}$. Then $d \geq 4$ and

$$\begin{aligned} |l|(2^{d+1} - 1) &\leq 1 + 2^d + 2^{d-1} + 2^{d-2} + 2^{d-3} + 2^{d-4} = 1 + 2^{d-4}(1 + 2 + \dots + 2^4) \\ &= 1 + 2^{d-4}(2^5 - 1) = 2^{d+1} - (2^{d-4} - 1). \end{aligned}$$

The last value is at most $2^{d+1} - 1$ if $d \geq 5$. In particular, if $l \neq 0$, then $l = \pm 1$ and we have either $d = 4$ and $\{j_1, \dots, j_5\} = \{0, \dots, 4\}$ or $d = 5$ and $\{j_1, \dots, j_5\} = \{1, \dots, 5\}$. However, we can verify that equality (4) does not hold in these cases. Thus we have $l = 0$ and

$$1 + 2^{j_1} + 2^{j_2} = 2^{j_3} + 2^{j_4} + 2^{j_5}.$$

If all j_k ($k = 1, \dots, 5$) are positive, this equality does not hold. Thus one of $\{j_1, j_2\}$ is 0 or one of $\{j_3, j_4, j_5\}$ is 0. In the latter case, we may assume that $j_3 = 0$. Then we have $2^{j_1} + 2^{j_2} = 2^{j_4} + 2^{j_5}$ from the above equality. However, this is impossible, as j_k ($k = 1, 2, 4, 5$) are distinct positive integers. In the former case, we may assume that $j_1 = 0$. Then we have

$$1 + 2^{j_2-1} = 2^{j_3-1} + 2^{j_4-1} + 2^{j_5-1}.$$

From [1, VIII, Lemma 4.5(b)], this holds only when $(i_3 - 1, i_4 - 1, i_5 - 1) \equiv (0, i_2 - 2, i_2 - 2)$ or $(-1, -1, i_2 - 1)$. Both cases do not hold, as i_3, i_4, i_5 are mutually distinct.

Hence we conclude that some of j_1, \dots, j_5 are the same. In the case $j_1 = j_2$, we have $1 + 2^{i_1+1} \equiv 2^{i_3} + 2^{i_4} + 2^{i_5} \pmod{2^{d+1} - 1}$. From [1, VIII, Lemma 4.5(b)] we have $(i_3, i_4, i_5) \equiv (0, i_1, i_1)$ or $(-1, -1, i_1 + 1)$. These are the first two solutions (p) and (p') of the lemma.

In the remaining case, we have $j_1 \neq j_2$. Without loss of generality, we may assume that either $j_1 = j_4$ or $j_3 = j_4$. In the former case, we have $1 + 2^{i_2} \equiv 2^{i_3} + 2^{i_5} \pmod{2^{d+1} - 1}$. Then $(0, i_2) \equiv (i_3, i_5)$ by [1, VIII, Lemma 4.4(c)]. This gives solution (o) in the lemma. In the latter case, we have

$$1 + 2^{i_3+1-i_5} \equiv 2^{-i_5} + 2^{i_1-i_5} + 2^{i_2-i_5} \pmod{2^{d+1} - 1}$$

from the given congruence relation. Then we have $(-i_5, i_1 - i_5, i_2 - i_5) \equiv (0, i_3 - i_5, i_3 - i_5)$ or $(-1, -1, i_3 - i_5 + 1)$ by [1, VIII, Lemma 4.5(b)]. Hence $(0, i_1, i_2) \equiv (i_3, i_3, i_5)$ or $(i_5 - 1, i_5 - 1, i_3 + 1)$. In the former case, $(i_1, i_2) \equiv (i_3, i_5)$, as $j_1 \neq j_2$. Then $i_3 \equiv 0$ and we have solution (q) in the lemma after suitably permuting $\{i_1, i_2\}$ and $\{i_3, i_4, i_5\}$. In the latter case where $(0, i_1, i_2) \equiv (i_5 - 1, i_5 - 1, i_3 + 1)$, one of i_1, i_2 is $i_5 - 1$ and the other is $i_3 + 1$, as we assumed $j_1 \neq j_2$. In particular, $j_5 = 1$. By replacing i_1 and i_2 if necessarily, we have the last solution (q'). \square

Proof of Lemma 13. Suppose there exist $1 \leq i < j \leq d$ such that $a_i \neq 0$ and $a_j \neq 0$. Then it follows from Lemma 12 that

$$(\varepsilon - 2^i)(\varepsilon - 1)^{-1} \equiv 2^a \quad \text{and} \quad (\varepsilon - 2^j)(\varepsilon - 1)^{-1} \equiv 2^b \pmod{2^{d+1} - 1}$$

for some integers a, b with $0 \leq a, b \leq d$. Notice that $a, b \neq 0$, for otherwise i or j would be 0. By a similar argument, $a \neq b$.

Now from the above two congruence relations we have $\varepsilon(2^a - 1) \equiv 2^a - 2^i$ and $\varepsilon(2^b - 1) \equiv 2^b - 2^j$ modulo $2^{d+1} - 1$. Hence we have

$$(2^a - 2^i)(2^b - 1) \equiv \varepsilon(2^a - 1)(2^b - 1) \equiv (2^b - 2^j)(2^a - 1) \pmod{2^{d+1} - 1}.$$

Developing both sides of this congruence equation and dividing by 2^i , we have

$$1 + 2^{b-i} + 2^{a+j-i} \equiv 2^b + 2^{a-i} + 2^{j-i} \pmod{2^{d+1} - 1}. \quad (5)$$

Notice that b and $j - i$ are nonzero integers modulo $d + 1$ by the remark above and assumption that $i < j$.

We apply Lemma 14 with $(i_1, i_2) \equiv (b - i, a + j - i)$ and $(i_3, i_4, i_5) \equiv (b, a - i, j - i)$ to find solutions for Eq. (5). In the following two paragraphs, congruence relations are considered modulo $d + 1$. If case (o) in the lemma holds, then the unique possibility is $a - i \equiv 0$, $b - i \equiv j - i$, and $a + j - i \equiv b$, because $i \not\equiv 0$. Then we have a solution $a \equiv i$ and $b \equiv j$ for Eq. (5).

We show that this is the unique solution for Eq. (5). If case (p) of Lemma 14 holds, we have $i_1 = b - i \equiv a + j - i = i_2$ and $(b, a - i, j - i) \equiv (0, i_1, i_1)$. As $b \not\equiv 0$ and $j - i \not\equiv 0$, we have $a - i \equiv 0$ and $b \equiv j - i \equiv b - i \equiv a + j - i$. In particular, we have $a \equiv 0$, which contradicts the above remark. If case (p') of Lemma 14 holds, we have $i_1 = b - i \equiv i_2 = a + j - i$ and three choices for $\{b, a - i, j - i\}$ to be congruent to $i_1 + 1$ (then the rest are congruent to -1). If $i_1 + 1 \equiv b$ (resp. $a - i$ or $j - i$) then we can verify that $a \equiv 0$ (resp. $i \equiv 0$ or $j \equiv 0$), which is a contradiction. Since two of $\{b, a - i, j - i\}$ are nonzero modulo $d + 1$, the above congruence relation does not have a solution of type (q) in Lemma 14. If case (q') of Lemma 14 holds, we have in total 6 cases to examine: $(0, i_2) \equiv (b - i, a + j - i)$ or $(a + j - i, b - i)$, and $(1, i_2 - 1, i_2 - 1) \equiv (b, a - i, j - i)$, $(a - i, b, j - i)$ or $(j - i, b, a - i)$, each of which can be deleted by straightforward calculations. Thus there is no solution for Eq. (5) other than $a \equiv i$ and $b \equiv j$.

Now we show that $a \not\equiv i$. Suppose that $a \equiv i$ modulo $d + 1$. Then $2^a \equiv (\varepsilon - 2^a)(\varepsilon - 1)^{-1}$, from which we have $\varepsilon(2^a - 1) \equiv 0$ (modulo $2^{d+1} - 1$). This implies that

$$(x^\varepsilon)^\sigma = x^\varepsilon \quad \text{for all } x \in GF(q),$$

where σ denotes the Galois automorphism of $GF(q)$ sending $y \in GF(q)$ to $y^{2^a} \in GF(q)$. Thus the subfield F generated by x^ε for all $x \in GF(q)$ lies in the subfield of $GF(q)$ fixed by $\sigma \in \text{Gal}(GF(q)/GF(2))$. Recall that the action of the cyclic group S on $[V, N]$ is given by the multiplication by elements t^ε ($t \in GF(q)^\times$) under the identification of $[V, N]$ with $GF(q)$ (see Lemma 9(2)). Hence the subfield F of $GF(q)$ corresponds to the subspace of $[V, N]$ spanned by the S -orbits on $[V, N]^\times$. However, S acts on $[V, N]$ irreducibly by Lemma 7. This implies that $F = GF(q)$, corresponding to $[V, N]$. Hence σ fixes all the elements of $GF(q)$, whence $\sigma = \text{id}_{GF(q)}$. This contradicts that $a \equiv i \not\equiv 0 \pmod{d + 1}$. Hence we do not have $a \equiv i \pmod{d + 1}$.

This eliminates all the solutions for Eq. (5). Thus there are no distinct i, j in $\{1, \dots, d\}$ with $a_i \neq 0$ and $a_j \neq 0$. \square

Remark. Observe that the assumption that $\dim([V, N]) = d + 1$ is crucial in the last part of the above proof. This is the main reason why we cannot apply the arguments in the proof of Theorem 1 to obtain a similar result in the case $\dim(V) = 2d + 1$. In this case, $\dim[V, N] = d$, whence S acts trivially on $[V, N]$. Thus $\varepsilon = 0$. Up to Lemma 13, many arguments go through with $\varepsilon = 0$. For example, Lemma 12 trivially holds, as $(\varepsilon - \sigma)(\varepsilon - 1)^{-1} = \sigma$. However, we do

not establish the uniqueness of i with $1 \leq i \leq d$ and $a_i \neq 0$, because the field F in the proof above is just $GF(2)$ if $\varepsilon = 0$.

Proof of Theorem 1. Now we can complete the proof of Theorem 1. From Lemma 13, there is exactly one integer i with $1 \leq i \leq d$ such that $a_i \neq 0$. Then we have $f(X) = X + a_i X^{2^i}$. As $f(1) = 0$ by Lemma 10, we have $a_i = 1$. Let $\sigma = 2^i$, identified with the field automorphism $GF(q) \ni x \mapsto x^{2^i} \in GF(q)$. Then for each $t \in GF(q)^\times$ and $x \in GF(q)$ we have

$$t^\varepsilon f(t^{-1}x) = t^{\varepsilon-1}x + t^{\varepsilon-\sigma}x^\sigma.$$

Since $(\varepsilon - \sigma)(\varepsilon - 1)^{-1}$ can be considered as a field automorphism of $GF(q)$ over $GF(2)$ by Lemma 12, it has the inverse map $\tau = (\varepsilon - \sigma)^{-1}(\varepsilon - 1)$, lying also in $\text{Gal}(GF(q)/GF(2))$. Setting $s := t^{\varepsilon-\sigma}$, we have $t^\varepsilon f(t^{-1}x) = s^\tau x + sx^\sigma$. Thus from Eq. (3) we have

$$X^{g(t)^{-1}ng(t)} = \{(x, t^\varepsilon f(t^{-1}x)) \mid x \in GF(q)\} = \{(x, sx^\sigma + s^\tau x) \mid x \in GF(q)\}$$

for every $t \in GF(q)^\times$ and $x \in GF(q)$. This is the presentation of the member $X(s)$ in the d -dual hyperoval $\mathcal{S}_{\sigma,\tau}^{d+1}$ (see [3]). As $X(0) = X$, we have $\mathcal{S} = \{X, X^{g(t)^{-1}ng(t)} \mid t \in GF(q)^\times\} = \mathcal{S}_{\sigma,\tau}^{d+1}$ with both σ and τ lying in $\text{Gal}(GF(q)/GF(2))$. This establishes Theorem 1. \square

4. Some classifications

In this section, we prove Theorems 2 and 3. We always assume that d is a positive integer with $d \geq 2$. We first give some preliminary remarks.

Let \mathcal{S} be a d -dual hyperoval over $GF(2)$ with ambient space V of dimension $2d+1$ or $2d+2$. Assume that a subgroup G of $\text{Aut}(\mathcal{S})$ acts doubly transitively on \mathcal{S} . Then $G = N : G_X$ for the regular normal subgroup N and the stabilizer G_X of $X \in \mathcal{S}$. From [4], either G_X is a subgroup of $\Gamma L_1(2^{d+1}) \cong Z_{2^{d+1}-1} : Z_{d+1}$ acting regularly on $X^\#$ or G_X contains one of the following groups as a normal subgroup L_X (here H' denotes the commutator subgroup of H):

$$\begin{aligned} &SL_l(r) \text{ for some divisor } l \text{ of } d+1 \text{ with } l \geq 2 \text{ and } r = 2^{(d+1)/l}, \\ &Sp_{2l}(r)' \text{ for some divisor } 2l \text{ of } d+1 \text{ with } 2l \geq 4 \text{ and } r = 2^{(d+1)/(2l)}, \\ &G_2(r)' \text{ for some } r = 2^{(d+1)/6}, \text{ where } 6 \text{ divides } d+1. \end{aligned}$$

Notice that $(l, r) \neq (2, 2)$ if $L_X \cong SL_l(r)$, as $d \neq 1$. Thus $L_X = L'_X$ in each case above. Moreover, if $L_X \cong Sp_{2l}(r)'$ (resp. $L_X \cong G_2(r)'$), it is not isomorphic to $Sp_{2l}(r)$ (resp. $G_2(r)$) if and only if $(d, 2l, r) = (3, 4, 2)$ (resp. $(d, r) = (5, 2)$).

In the proofs of Theorems 2 and 3, the letter L_X is used to denote the above normal subgroup of G_X .

It follows from the classification of doubly transitive groups of affine type that the action of L_X on X is natural. Namely, if $L_X \cong SL_l(r)$, the action of L_X on X is equivalent to the action of the matrix group $SL_l(r)$ on the row vector space $GF(r)^l$ given by the matrix multiplication from the right. If $L_X \cong Sp_{2l}(r)'$, the action of L_X on X is equivalent to the action of matrix group $Sp_{2l}(r)'$ preserving symplectic form $f(x, y) = \sum_{i=1}^{2l} x_i y_{2l+1-i}$ on $GF(r)^{2l}$, given by the matrix multiplication from right. In the proofs of Theorems 2 and 3, subspaces of X corresponding to totally isotropic subspaces of $GF(r)^{2l}$ with respect to f are just called totally isotropic subspaces. If $L_X \cong G_2(r)'$, recall that $G_2(r)'$ is a subgroup of the 7-dimensional orthogonal group $SO_7(r)$ preserving orthogonal form $Q(x) = x_7^2 + \sum_{i=1}^6 x_i x_{7-i}$ on $GF(r)^7$. The symplectic form $f_Q(x, y) = Q(x+y) - Q(x) - Q(y) = \sum_{i=1}^6 x_i y_{7-i}$ associated with Q

has the 1-dimensional radical R in $GF(r)^7$, whence the action of $SO_7(r)$ on $GF(r)^7$ induces an action of $SO_7(r)$ on $GF(r)^7/R$. The action of $L_X \cong G_2(r)'$ on X is equivalent to the restriction onto $G_2(r)'$ of this action of $SO_7(r)$ on $GF(r)^7/R$. Remark that $G_2(r)'$ preserves a generalized hexagon consisting of some 1- and 2-dimensional subspaces of $GF(r)^7/R$ which correspond to totally singular subspaces of $GF(r)^7$ with respect to Q . In the proofs of [Theorems 2](#) and [3](#), subspaces of $X \cong GF(r)^7/R$ corresponding to totally singular subspaces of $GF(r)^7$ with respect to Q are just called totally singular subspaces.

Notice that in each case above, if L_X acts on a vector space W over $GF(2)$ of dimension smaller than $d+1$, the action of L_X on W is trivial. This observation follows from the existence of a Sylow p -subgroup for a 2-primitive prime divisor p of $2^{d+1}-1$ or its modification, according as $d \neq 5$ or $d = 5$. See the argument in [Lemma 7](#).

Proof of Theorem 2. Let \mathcal{S} be a d -dual hyperoval over $GF(2)$ with ambient space V of dimension $2d+1$. Assume that a subgroup G of $\text{Aut}(\mathcal{S})$ acts doubly transitively on \mathcal{S} . Then $G = N : G_X$ for the regular normal subgroup N and the stabilizer G_X of $X \in \mathcal{S}$. From [\[4\]](#), either G_X is a group described in the theorem or G_X contains a normal subgroup L_X in the remark above:

Suppose that G_X has the normal subgroup L_X above. Then L_X acts on $[V, N]$, which is of dimension d over $GF(2)$. The last remark previous to the proof shows that L_X acts trivially on $[V, N]$. Let a be a nonzero vector, a nonzero vector, or a nonzero singular vector of X , according as $L_X \cong SL_1(r)$, $Sp_{2l}(r)'$, or $G_2(r)'$. As L_X naturally acts on X , the stabilizer P_a of a in L_X is a parabolic subgroup of L_X and it acts nontrivially on the factor space $X/\langle a \rangle$.

On the other hand, let n be the unique involution of N with $a \in X \cap X^n$. Then P_a centralizes n by the regularity of N on \mathcal{S} . Moreover, $P_a (\leq L_X)$ centralizes $[X, n] (\leq [V, N])$. Thus for each $x \in X$ and any $g \in P_a$ we have $x + x^n = (x + x^n)^g = x^g + x^{gn}$, whence $x + x^g = (x + x^g)^n$. This implies that $x + x^g$ lies in $X \cap X^n = \{0, a\}$ for every $x \in X$, or equivalently, $x^g \in x + \langle a \rangle$ for every $x \in X$. Thus P_a acts trivially on $X/\langle a \rangle$, which contradicts the remark in the above paragraph. \square

Next we make an observation, which is a refinement of [\[3, Lemma 4\]](#).

Lemma 15. Let \mathcal{S} be a d -dual hyperoval over $GF(2)$ on which a group $G = N : G_X$ acts doubly transitively with a regular normal subgroup N . Assume that there is a subgroup P of G_X and a normal subgroup U of P such that P acts transitively on $C_X(U)^\#$, the set of nonzero vectors of X fixed by all elements of U . Let

$$\mathcal{S}(U) := \{Y \in \mathcal{S} \mid Y^u = Y (\forall u \in U)\} \quad \text{and} \quad \mathcal{S}[U] := \{C_Y(U) \mid Y \in \mathcal{S}(U)\}.$$

If $C_X(U)$ has a dimension $e+1$ over $GF(2)$ with $e \geq 1$, then $\mathcal{S}[U]$ is an e -dual hyperoval over $GF(2)$ on which $C_N(U) : (P/U)$ acts doubly transitively.

Proof. The argument in [\[3, Lemma 4\]](#) shows that $\mathcal{S}[U]$ is an e -dual hyperoval. By construction, U acts trivially on the ambient space of $\mathcal{S}[U]$. We show that

$$\mathcal{S}(U) \setminus \{X\} = \{Y \in \mathcal{S} \setminus \{X\} \mid X \cap Y \subset C_X(U)\} = \{X^n \mid n \in C_{N^\#}(U)\}.$$

If X^n ($n \in N^\#$) lies in $\mathcal{S}(U)$, we have $X^{u^{-1}nu} = X^{nu} = X^n$ for all $u \in U$. By the regularity of N on \mathcal{S} , we have $u^{-1}nu = n$ for all $u \in U$. Thus $n \in C_N(U)$. In particular, $X \cap X^n \subset C_X(U)$. Conversely, take any projective point of $C_X(U)$ and write it as $X \cap Y$ for some $Y \in \mathcal{S} \setminus \{X\}$. Take $n \in N$ with $Y = X^n$. Then $X \cap X^n = X \cap X^{nu}$ for all $u \in U$, as $X \cap Y \subset C_X(U)$. As three distinct members of \mathcal{S} intersect trivially, we have $X^n = X^{nu}$ for all $u \in U$. Thus $Y = X^n \in \mathcal{S}(U)$.

From the above description of $\mathcal{S}(U)$, it is immediate to see that $C_N(U)$ acts regularly on it. Since $e \geq 1$, then $C_N(U)$ acts regularly on $\mathcal{S}[U]$. As P/U is transitive on $C_X(U)^\#$, we conclude that $C_N(U) : (P/U)$ acts doubly transitively on $\mathcal{S}[U]$. \square

Proof of Theorem 3. Let \mathcal{S} be a d -dual hyperoval over $GF(2)$ admitting a doubly transitive group G with ambient space of dimension $2d + 2$. Then $G = N : G_X$ for the regular normal subgroup N and the stabilizer G_X of a member X of \mathcal{S} . Then either G_X is a subgroup of $\Gamma L_1(2^{d+1})$ acting regularly on $X^\#$ or G_X has a normal subgroup L_X described in the remarks previous to the proof of Theorem 2.

We will eliminate the latter case, except possibly the cases where either $d = 2, 3, 5$ or $l = 2$ and $L_X \cong SL_2(r)$ with $r = 2^{(d+1)/2}$. These exceptional cases are summarized as cases (2) and (3) in the theorem.

Notice that $L_X \cong Sp_{2l}(r)' \neq Sp_{2l}(r)$ if and only if $l = 2 = r$ and $(d + 1)/2l = 1$. Then we have case (3) in the theorem with $d = 3$ and $G_X \cong Sp_4(2)' \cong A_6$ or S_6 . We do not have $G_X \cong M_{10}$, $PGL_2(9)$, or $\text{Aut}(A_6)$, for otherwise one of these groups would be a subgroup of $\text{Aut}(N) \cong GL_4(2)$. Similarly, if $L_X \cong G_2(r)' \neq G_2(r)$ then $r = 2$ and $(d + 1)/6 = 1$. Then we have case (3) with $d = 5$ and $G_X \cong G_2(2)'$ or $G_2(2)$. Hence in the following we may assume that $L_X \cong SL_l(r)$, $Sp_{2l}(r) = Sp_{2l}'$ or $G_2(r) = G_2(r)'$.

We choose U and P to apply Lemma 15. Recall that the action of L_X on X is natural. If $L_X \cong SL_l(r)$, let P be the stabilizer of an $(l - 1)$ -dimensional subspace W of X over $GF(r)$, and let U be the vectorwise stabilizer of W . Then $P/U \cong GL_{l-1}(r)$ acts naturally on $W = C_X(U)$. In particular, P/U is transitive on $C_X(U)^\#$. If $L_X \cong Sp_{2l}(r)$, take P to be the stabilizer of an l -dimensional totally isotropic subspace W of X and U to be the vectorwise stabilizer of W . Then $P/U \cong GL_l(r)$ acts naturally on $W = C_X(U)$. In particular, P/U is transitive on $C_X(U)^\#$. If $L_X \cong G_2(q)$, let P be the stabilizer of a 2-dimensional singular subspace W of X corresponding to a line of the generalized hexagon associated with L_X , and let U be the vectorwise stabilizer of W . Then $P/U \cong GL_2(r)$ acts naturally on $W = C_X(U)$. In particular, P/U is transitive on $C_X(U)^\#$.

We set $e + 1 := \dim_{GF(2)}(C_X(U))$. Then we have $e + 1 = (l - 1) \times (d + 1)/l$, $l \times (d + 1)/2l$ or $2(d + 1)/6$, according as $L_X \cong SL_l(r)$, $Sp_{2l}(r)$ or $G_2(r)$. Notice that P/U contains a cyclic subgroup $S_U \cong Z_{2^{e+1}-1}$ of $GL(C_X(U))$ acting regularly on $C_X(U)^\#$.

We examine the cases where $0 \leq e \leq 2$. If $L_X \cong SL_l(r)$ for a divisor l of $d + 1$ with $l \geq 2$ and $r = 2^{(d+1)/l}$, we have $e + 1 = (l - 1)((d + 1)/l)$, or equivalently, $l - 1 = (e + 1)/(d - e)$. If $e = 0, 1$ or 2 , we have $l - 1 = (1/d)$, $2/(d - 1)$ or $3/(d - 2)$, respectively. As $l - 1$ is a positive integer and $d \geq 2$, the possibility $e = 0$ does not occur. Furthermore, $e = 1$ if and only if $(d, l, r) = (2, 3, 2)$ and $L_X \cong SL_3(2)$ or $(d, l, r) = (3, 2, 4)$ and $L_X \cong SL_2(4) \cong A_5$, both of which are contained in case (2) of the theorem. (In the latter case, $G_X \cong A_5$ or S_5 .) We have $e = 2$ if and only if $(d, l, r) = (3, 4, 2)$ and $(L_X, P/U) \cong (SL_4(2), SL_3(2))$, or $(d, l, r) = (5, 2, 2^3)$ and $(L_X, P/U) \cong (SL_2(2^8), Z_7)$. In the latter case, $G_X \cong SL_2(2^3)$ or $SL_2(2^3).Z_3$, and this case is contained in case (2) of the theorem.

Similarly, if $L_X \cong Sp_{2l}(r)$ for a divisor $2l$ of $d + 1$ with $2l \geq 4$ and $r = 2^{(d+1)/2l}$, we have $e + 1 = (d + 1)/2$. Thus $e = 0$ does not occur, as $d \geq 2$. We have $e = 1$ if and only if $(d, 2l, r) = (3, 4, 2)$, which is contained in case (3). In this case, $L_X \cong Sp_4(2) \cong S_6$ is a normal subgroup of G_X . Notice that $G_X \cong Sp_4(2)$, because none of $\cong M_{10}$, $PGL_2(9)$ and $\text{Aut}(A_6)$ is a subgroup of $\text{Aut}(N) \cong SL_4(2) \cong A_8$. We have $e = 2$ if and only if $(d, 2l, r) = (5, 6, 2)$. In this case, $L_X = G_X \cong Sp_6(2)$ and $P/U \cong GL_3(2)$. This is contained in case (3).

Finally, if $L_X \cong G_2(r)$ for a multiple $d+1$ of 6 and $r = 2^{(d+1)/6}$, we have $e+1 = (d+1)/3$. Thus $e \neq 0$ and $e \neq 2$, as $d+1$ is a multiple of 6. Furthermore, $e = 1$ if and only if $(d, r) = (5, 2)$, which is contained in case (3).

Summarizing, we have $e \geq 1$ for each case. Furthermore, if $e = 1$ or $e = 2$, then one of the possibilities in cases (2) and (3) of the theorem holds, except when $e = 2$, $(d, l, r) = (3, 4, 2)$ and $(L_X, P/U) \cong (SL_4(2), SL_3(2))$.

We will remark that the centralizer $C_{[V, N]}(U)$ of U in $[V, N]$ is of dimension at most $e+1$ over $GF(2)$. Fix a nonzero vector w of $C_X(U)$, and let $n := \nu(w)$ be the unique involution of N such that $X \cap X^n = \{0, w\}$. From the regularity of the action of N on \mathcal{S} , we have $n \in C_N(U)$. As $[X, n] \cong X/C_X(n) = X/(X \cap X^n)$ is of dimension d , the subspace $[X, n] = \{x + x^n \mid x \in X\}$ is a hyperplane of $[V, N]$. Thus in order to show that $\dim_{GF(2)}(C_{[V, N]}(U)) \leq e+1$, it suffices to show that $\dim_{GF(2)}(C_{[X, n]}(U)) = e$.

Observe that $C_{[X, n]}(U)$ contains a subspace $\{x + x^n \mid x \in C_X(U)\}$, which is isomorphic to a space $C_X(U)/\{0, w\}$ of dimension e over $GF(2)$. Conversely, let x be an element of X such that U centralizes $x + x^n$. Then $(x + x^n)^u = x + x^n$ for every $u \in U$, whence $x + x^u = (x + x^n)^n$ for all $u \in U$, as $[n, U] = 1$. Thus $x + x^u$ lies in $C_X(n) = \{0, w\}$ for all $u \in U$. On the other hand, we have $|U| = r^{l-1} = 2^{e+1}$ (resp. $r^{l(l+1)/2} = (2^{e+1})^{(l+1)/2}$ and $r^6 = (2^{e+1})^{5/2}$) if $L_X \cong SL_l(r)$ (resp. $Sp_{2l}(r)$ and $G_2(r)$). As $e \geq 1$, we have $|U| \geq 4$ in any case. Then, using the explicit matrix representation of L_X on the natural module X , we can verify that for every $y \in X \setminus C_X(U)$ there are distinct elements u and v of $U^\#$ such that $y + y^u$ and $y + y^v$ are distinct nonzero elements of X . As $x + x^u \in \langle w \rangle$ for every $u \in U$, this implies that $x \in C_X(U)$. Thus $C_{[X, n]}(U) = \{x + x^n \mid x \in C_X(U)\}$ and $\dim_{GF(2)}(C_{[X, n]}(U)) = e$, as we desired.

We now consider the e -dual hyperoval $\mathcal{S}[U]$ constructed by Lemma 15 with the above choice of U and P . From the preceding two paragraphs, the subspace $C_V(U) = C_X(U) \oplus C_{[V, N]}(U)$ is of dimension at most $2(e+1)$. The ambient space $A(U)$ of the e -dual hyperoval $\mathcal{S}[U]$ over $GF(2)$ lies in $C_V(U)$, whence $\dim_{GF(2)} A(U) = 2e+1$ or $2e+2$.

As we saw above, we have $e \geq 1$. Moreover, the possibilities of (d, l, r, L_X) for $e = 1$ are contained in cases (2) and (3) of the theorem. Thus we may assume that $e \geq 2$.

If $\dim_{GF(2)} A(U) = 2e+1$ for $e \geq 2$, the e -dual hyperoval $\mathcal{S}[U]$ over $GF(2)$ satisfies the hypotheses of Theorem 2 with doubly transitive automorphism group $C_N(U) : (P/U)$. Thus it follows from Theorem 2 that P/U is isomorphic to a subgroup of the metacyclic group $Z_{2^{e+1}-1} : Z_{e+1}$. Assume that $L_X \cong G_2(r)$ for a multiple $d+1$ of 6 and $r = 2^{(d+1)/6}$. In this case, $e+1 = (d+1)/3$ and $P/U \cong GL_2(r)$. As $GL_2(r)$ is metacyclic if and only if $2 = r = 2^{(d+1)/6}$, we have $e = 1$, which is a contradiction. If $L_X \cong Sp_{2l}(r)$ for a divisor $2l$ of $d+1$ with $2l \geq 4$ and $r = 2^{(d+1)/(2l)}$, we have $e+1 = (d+1)/2$ and $P/U \cong GL_l(r)$. This is metacyclic if and only if $l = 2 = r = 2^{(d+1)/(2l)}$, from which we have $e = 1$, a contradiction. If $L_X \cong SL_l(r)$ for a divisor l of $d+1$ with $l \geq 2$ and $r = 2^{(d+1)/l}$, we have $e+1 = (l-1)((d+1)/l)$ and $P/U \cong GL_{l-1}(r)$. Thus P/U is metacyclic if and only if either $l = 2$ or $(l, r) = (3, 2)$. In the latter case, we have $d = 2$ and $e = 1$, which is a contradiction. Summarizing, if $\dim_{GF(2)}(A(U)) = 2e+1$ for $e \geq 2$, the only remaining possibility is $l = 2$. As this implies that d is odd and $r = 2^{(d+1)/2}$, $L_X \cong SL_2(2^{(d+1)/2})$, this is contained in case (2) of the theorem.

Hence it remains to treat the case where $\dim_{GF(2)}(A(U)) = 2e+2$ with $e \geq 2$. In this case, $\mathcal{S}[U]$ is an e -dual hyperoval over $GF(2)$ with ambient space of dimension $2e+2$ ($e \geq 2$), on which $C_N(U) : (P/U)$ acts doubly transitively. Moreover, as we remarked above, P/U contains a cyclic subgroup S_U of order $2^{e+1} - 1$ acting regularly on $C_X(U)^\#$. Thus $\mathcal{S}[U]$ satisfies the hypotheses of Theorem 1. It follows from Theorem 1 that one of the following holds:

- (i) $e = 2$ and $\text{Aut}(\mathcal{S}[U]) \cong 2^3 : SL_3(2)$, or
 (ii) $e \geq 3$ and $\text{Aut}(\mathcal{S}[U]) \cong 2^{e+1} : (Z_{2^{e+1}-1} : Z_{e+1})$.

If case (i) occurs, then $e = 2$. As we saw above, in this case, either one of the possibilities in case (3) of theorem occurs or $(d, l, r) = (3, 4, 2)$ and $(L_X, P/U) \cong (SL_4(2), SL_3(2))$. In the exceptional case, $SL_4(2)$ contains a cyclic subgroup of order 15 acting regularly on $X^\#$. Then \mathcal{S} is isomorphic to $\mathcal{S}_{\sigma, \tau}^4$ and $\text{Aut}(\mathcal{S}) \cong \text{Aut}(\mathcal{S}_{\sigma, \tau}^4)$ is solvable by Theorem 1. However, this contradicts that $\text{Aut}(\mathcal{S})$ involves $SL_4(2)$. Thus the exceptional case does not occur.

Hence we may assume that the case (ii) holds. In particular, P/U is metacyclic, as it is a subgroup of $\text{Aut}(\mathcal{S}[U])_X \cong Z_{2^{e+1}-1}.Z_{e+1}$. Assume that $L_X \cong SL_l(r)$ for a divisor l of $d+1$ with $l \geq 2$ and $r = 2^{(d+1)/2}$. Then $P/U \cong GL_{l-1}(r)$ is metacyclic. This is possible only when $l = 2$. In this case, G_X is a subgroup of $\text{Aut}(L_X) \cong GL_2(2^{(d+1)/2}) : Z_{(d+1)/2}$. Thus we have case (2). Assume that $L_X \cong Sp_{2l}(r)$ with a divisor $2l$ of $d+1$ with $2l \geq 4$ and $r = 2^{(d+1)/2l}$. Then $P/U \cong GL_l(r)$ is metacyclic, which occurs only when $l = 2 = r$. Then $d+1 = 2l = 4$. But $e = (d-1)/2 = 1$, a contradiction. Finally assume that $L_X \cong G_2(r)$ with a multiple $d+1$ of 6 and $r = 2^{(d+1)/6}$. Then $P/U \cong GL_2(r)$ is metacyclic, which implies that $r = 2$ and $d+1 = 6$. But then $e = (d-2)/3 = 1$, a contradiction. We now exhausted all the cases. \square

Remark 16. In case (2) of Theorem 3, if $\dim(A(U)) = 2e + 2$ with $e \geq 2$, then we conclude that G_X does not contain $GL_2(2^{(d+1)/2})$.

This is verified as follows. Return to the last paragraph in the proof of Theorem 3. Assume that d is odd and $L_X \cong SL_2(2^{(d+1)/2})$. Suppose that G_X contains $GL_2(2^{(d+1)/2})$. Then G_X contains a cyclic group of order $2^{d+1} - 1$ acting regularly on $X^\#$, and we can apply Theorem 1 to \mathcal{S} . Then we have either $d = 2$ or $\text{Aut}(\mathcal{S})$ is solvable. As $d+1$ is even, $d \neq 2$. Furthermore, since $L_X \cong SL_2(2^{(d+1)/2})$ and $(d+1)/2 \geq 2$ for $d \geq 2$, the group L_X involved in $\text{Aut}(\mathcal{S})$ is not solvable. This contradiction shows that G_X does not contain $GL_2(2^{(d+1)/2})$.

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